Prevention of health care associated infection and antimicrobial resistance: a new management approach

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Prevention of health care associated infection and of antimicrobial resistance: a new management approach

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Deaths attributable to antimicrobial resistance every year, by 2050

In 2014, in the EU, AMR is responsible for 25,000 deaths and > EUR 1.5 billion of healthcare costs and productivity losses annually.

By 2050, it could result in a reduction of the European GDP by 1% to 4.5%.
Antimicrobial consumption and antimicrobial resistance

Correlation between antibiotic use and resistance
EU and WHO “One Health” approach

Given its severity, AMR is a political high priority within the EU and also for the WHO, that are using the "One Health" approach, which recognizes that the health of people, animals and the environment are inextricably linked and that antibiotics are used in human medicine, veterinary and agriculture.


The agenda to decrease antibiotic use includes:
- preventing infections and their spread
- improving monitoring and surveillance
- avoiding unnecessary use of antibiotics: using them only when needed, for the less time possible and with the least possible spectrum
- developing research and innovation towards new effective antibiotics
- developing new ways to tackle infection without harming bacteria
## Portugal 2011-2012:
High prevalence of HAI and high hospital antibiotic consumption

<table>
<thead>
<tr>
<th></th>
<th>HAI prevalence</th>
<th>Antibiotic consumption</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Portugal</td>
<td>EU</td>
</tr>
<tr>
<td>Homem</td>
<td>12,4%</td>
<td>7,2%</td>
</tr>
<tr>
<td>Mulher</td>
<td>8,8%</td>
<td>5,4%</td>
</tr>
<tr>
<td>População Global</td>
<td>10,5%</td>
<td>6,1%</td>
</tr>
</tbody>
</table>

*ECDC Point Prevalence Study PPCI RA/DGS, ECDC 2012*
2012: High ambulatory antibiotic consumption, and very high quinolone consumption

Global consumption in DDD

Quinolone consumption in DDD

ESAC Net 2012, ECDC
High hospital consumption of carbapenemns, 2010-2012

“In 2012, consumption of carbapenems varied by a factor of 14, from 0.01 (Bulgaria) to 0.14 DDD per 1 000 inhabitants and per day (Portugal)“

“The proportion of consumption of carbapenems out of antibacterials for systemic use ranged from 0.8% (Latvia) to 9.8% (Portugal) with an EU/EEA population-weighted mean of 2.9%.”
High index of antimicrobial resistance
From PPS 2011-2012, ECDC

First-level antimicrobial resistance markers in PPS: MRSA, VRE, Enterobacteriaceae non-susceptible to third-generation cephalosporins, Pseudomonas aeruginosa and Acinetobacter baumannii non-susceptible to carbapenems. Data from the Netherlands were excluded for reasons explained above.
Mortality associated with HCAI versus that associated with road traffic accidents

Estimated costs of 300 million euros per year associated with HCAI

Road traffic accidents

Infections
Weaknesses and threats of IC fight in Portugal: early 2013 report

- Understaffing and underpowerment of the central and peripheral IC structures
- Low level of integration and synergy of the several processes; no holistic vision; too many process leaders
- Low strategic sustainability; Absence of focus in the most relevant issues; low involvement of the citizen
- Problems of data sharing among state institutions and of data feedback to the providers; low reliability of indicators
- Difficulties in implementing a collaborative model, increasing capacity building and maximizing participation and transparency
- Reimbursement system not reflecting the indicators and targets and not boosting motivation

Paiva JA et al. PPCIRA launching report, feb. 2013. DGH
PPCIRA: A National Priority Program

NATIONAL PROGRAM ON INFECTION CONTROL

NATIONAL PROGRAM ON PREVENTION OF ANTIMICROBIAL RESISTANCE

MINISTÉRIO DA SAÚDE
Gabinete do Secretário de Estado Adjunto do Ministério da Saúde
Despacho n.º 2992/2013

NATIONAL PROGRAM ON PREVENTION OF INFECTION AND OF ANTIMICROBIAL RESISTANCE (PPCIRA)
Tackling AMR by reducing antibiotic consumption

- Reduce HCAI
- Reduce the emergence of antibiotic resistance
- Reduce transmission of MDR microorganisms
- Reduce the incidence of MDR microorganisms
- Epidemiological surveillance
Tackling AMR by reducing antibiotic consumption, by launching:

(a) a **Joint Alliance** in the spirit of One Health to commit different stakeholders that agreed on a ten-point memorandum;

(b) an **Antibiotic Awareness Campaign** for the citizen;

(c) a national **Antimicrobial Stewardship (AMS)** programme.
(b) The Citizen’s Antibiotic Awareness Campaign

- It was a national campaign, involving primary care centers, hospitals, schools and big events arenas in 2011-2012.
- Global slogan “More antibiotics, less health”.
- Very simple key messages, namely “do not self-medicate with antibiotics”, “unused antibiotics should be returned to the pharmacy” and “do not treat colds with antibiotics”.
- 5 million flyers and 10 000 posters; 200 billboards and public transport signs were placarded in the 3 main Portuguese cities; a daily TV spot was presented for 30 days.
- At the end of the campaign, a total of 226 insertions/news were issued in the media, including TV, radio, press and internet.
- The campaign was repeated, at a smaller scale, two years later, in the winter 2013-14.
(c) The Antimicrobial Stewardship Programme

- A nation-wide programme, locally customized, using restrictive but mainly persuasive/enablement techniques, led by a local expert team.
- **Focused** on surgical antibiotic prophylaxis, on one hand, and on quinolones and carbapenems therapies, on the other hand.
- **Targets were clearly defined:** 10% reduction for quinolones and 5% for carbapenems, with concomitant non-rise of global antibiotic use.
- **National guidelines** were issued for the use of antibiotics in surgical prophylaxis and on duration of antibiotic therapy (focusing on to not more than 7 days, except for a small group of infectious diseases).
- A **two day educational course** was performed by the Head of the National Programme in each of the seven health regions, discussing and teaching reasons for quinolones and carbapenems use and strategies for limiting its use to cases in which they are really necessary.
- As a goal, all prescriptions of these two classes of antibiotics should be checked, discussed with the bedside medical team and eventually validated by the AMS team in the first 96 hours after prescription.
AMS: How to use it?

Think global, act local

Patrick Geddes

Geddes believed in working with the environment, versus working against it

De Waele J et al, ICM 2016
- Definition of aims, goals and targets
- Empowerment
- Macro changes

Clinical Pathology
Main quality gaps in antibiotic strategy

Wrong selection of patients that need antibiotic therapy

History, physical exam and microbiological samples

Presentation

Incorrect empirical treatment:
- 40% of patients with BSI,
- 50% of those with HCA BSI,
- 70% of those with fungemia

Antibiotic

48-72 hours

Clinical evolution and further culture and susceptibility results and biomarkers

Stop
Shorten
Narrow
Rescue

long time to directed antibiotic therapy
By the end of 2015:

- **78%** of Portuguese hospitals had implemented AMS team and process
- The process extended to primary care centers: **11%** of them had AMS
- The educational course on adequate antibiotic use was replicated inside each of the health regions, by steering local groups

### Consumption of antibiotics in Portugal in defined daily doses (DDD) per 1000 habitants per day

<table>
<thead>
<tr>
<th>Year</th>
<th>Global community consumption of antibiotics #</th>
<th>Community consumption of quinolones</th>
<th>Global hospital consumption of antibiotics</th>
<th>Hospital consumption of cabapenems</th>
<th>Hospital consumption of quinolones</th>
</tr>
</thead>
<tbody>
<tr>
<td>2011</td>
<td>23,72</td>
<td>2,91</td>
<td>1,73</td>
<td>0,139</td>
<td>0,22</td>
</tr>
<tr>
<td>2012</td>
<td>23,04</td>
<td>2,61</td>
<td>1,67</td>
<td>0,142</td>
<td>0,18</td>
</tr>
<tr>
<td>2013</td>
<td>19,04</td>
<td>2,18</td>
<td>1,64</td>
<td>0,146</td>
<td>0,18</td>
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<tr>
<td>2014</td>
<td>20,32</td>
<td>2,12</td>
<td>1,55</td>
<td>0,139</td>
<td>0,17</td>
</tr>
<tr>
<td>2015</td>
<td>21,30</td>
<td>2,05</td>
<td>1,57</td>
<td>0,133</td>
<td>0,15</td>
</tr>
</tbody>
</table>

*From 2013 on, the data refers to selling figures and not, as until then, to stocking figures.*
Reduce HCAI
Reduce antibiotic consumption
Reduce the emergence of antibiotic resistance
Eviction of antibiotic use in the absence of bacterial infection
Reduce transmission of MDR microorganisms
Reduce the incidence of MDR microorganisms

EPIDEMIOLOGICAL SURVEILLANCE
NATIONAL ANTIMICROBIAL STEWARDSHIP PROGRAM
STANDARD PRECAUTIONS NATIONAL CAMPAIGN
JOINT ALLIANCE
ANTIBIOTIC AWARENESS CAMPAIGN
Until 2014, no significative reduction in HCAI

<table>
<thead>
<tr>
<th>Year</th>
<th>Staph aureus hospital acq bacteremia (per 1 000 patient days)</th>
<th>MRSA hospital acquired bacteremia (per 1 000 patient days)</th>
<th>ICU Catheter related infection (per 1 000 cateter days)</th>
<th>Ventilator associated pneumonia (per 1 000 intubation days)</th>
<th>Catheter related sepsis in neonatal ICU (per 1 000 cateter days)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2008</td>
<td></td>
<td></td>
<td>2,1</td>
<td>11,2</td>
<td>10,08</td>
</tr>
<tr>
<td>2009</td>
<td></td>
<td></td>
<td>2,2</td>
<td>10,6</td>
<td>11,34</td>
</tr>
<tr>
<td>2010</td>
<td></td>
<td></td>
<td>1,4</td>
<td>8,7</td>
<td>11,29</td>
</tr>
<tr>
<td>2011</td>
<td></td>
<td></td>
<td>1,5</td>
<td>8,6</td>
<td>13,40</td>
</tr>
<tr>
<td>2012</td>
<td>0,27</td>
<td>0,17</td>
<td>1,0</td>
<td>8,7</td>
<td>11,46</td>
</tr>
<tr>
<td>2013</td>
<td>0,27</td>
<td>0,16</td>
<td>1,3</td>
<td>7,4</td>
<td>9,13</td>
</tr>
<tr>
<td>2014</td>
<td>0,27</td>
<td>0,15</td>
<td>1,9</td>
<td>7,1</td>
<td></td>
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</table>
The Gulbenkian Foundation invited the Institute for Healthcare Improvement (IHI) to become a program partner, due to its expertise in running large-scale collaborative improvement projects and its persistent focus on execution, measurement and results.

In liaison with the National PPCIRA

**Aim:** to reduce HAI by 50%, for a group of 12 selected Portugal NHS centers (19 hospitals) over a period of three years.

Represented 25% to 30% of all inpatient episodes in Portuguese NHS hospitals

**The work commenced in late 2015,** under the name of STOP Infeção Hospitalar
The Stop infeção hospitalar is focused on four main types of HAI:

- Catheter-Associated Urinary Tract Infection (CAUTI);
- Central line-associated bloodstream infection (CLA BSI);
- Surgical Site Infection (SSI), namely Hip and Knee replacement, Colon-recto and Gallbladder;
- Ventilator-associated pneumonia (VAP).

The pilot-sites/departments include:

- General Wards (CAUTI and CLA BSI),
- Intensive care units (CLA BSI and VAP),
- Orthopedic (SSI related to hip and knee replacement)
- General Surgery (SSI related to colon-rectum and gallbladder)

Multidisciplinary front line teams are being supported to design, test and implement improved work processes designed to deliver safer and more reliable care to the patients.
Key elements of the STOP program

- an evidence based **change package** (e.g. bundles for insertion and maintenance of urinary catheter and central line catheters, VAP prevention and SSI prevention);

- a robust **measurement and data collection system** (process, outcome and balancing measures);

- a **strategy for building capacity** (creation of a sustainable infrastructure for healthcare improvement, put in place first at the 19 participating hospitals and later spread to other hospitals throughout the country);

- an evaluation **plan to measure the success of the QI initiative** and to identify areas for improvement along with a dissemination plan to publish and share practices and results achieved.

- **Leadership commitment** - a pre-requisite for participation
Schematic Representation of the Breakthrough Series Collaborative STOP Infeção Hospitalar!
The model for improvement

- A large number of teams from hospitals or clinics seeking improvement in a focused area come together under a working environment where all members learn and all members teach.

- Teams are taught improvement methodology including The Model for Improvement.

- Interventions include participation at learning sessions, educational interventions and discussion of activities and progress at coaching conversations by telephone, webex calls and face-to-face meetings.

- to build a learning community and system that is capable of future ongoing sustainable improvement

- to build improvement capability and improve organization cultures over time.
Dynamic Measurement is a key activity

- Measurement and monitoring of the target of change is a key activity
- Statistical process control maps variations over time, combining statistical significance tests with chronological analysis of graphs of summary data
- Annotating timing of interventions and showing target and control limits
- Measuring process compliance and outcome improvement
- Constant feedback to providers at service level
- The monthly collection and analysis of data allows real time learning and improvement
Quality Improvement through breakthrough collaborative

A learning organization, a learning community

- ALL TEACH, ALL LEARN
- Transparency
- Distributed Leadership

Best practices are harvested and shared through the collaborative learning system, both through the website and webex calls and face-to-face meetings.
High-Impact Leadership Behaviors
What leaders do to make a difference

1. Person-centeredness
   Be consistently person-centered in word and deed

2. Front Line Engagement
   Be a regular authentic presence at the front line and a visible champion of improvement

3. Relentless Focus
   Remain focused on the vision and strategy

4. Transparency
   Require transparency about results, progress, aims, and defects

5. Boundarilessness
   Encourage and practice systems thinking and collaboration across boundaries

From holding to handing power

Leadership is not wielding authority - it's empowering people.

Becky Brodin

- Holding power
- Sharing power
- Handing power

Results

Time

Management

Quality Improvement

Creating a Movement
The Mental Shift at the Service level

What’s the Matter?

We have a problem with HCAI and antibiotic use

AMS and STOP teams are in the service doing it

What matters to you?

We are trying to reduce HCAI and empirical antibiotic therapy

We are treating only those that clearly have bacterial infection and only after collecting micro exams: AMS and STOP teams are helping
Results after STOP Infeção Hospitalar, in september 2017
Catheter Related Infection Prevention – compliance with insertion bundle

Tests performed with unequal sample sizes
Catheter Related Infection Prevention – compliance with maintenance bundle

P=84.1%
P=91.35%
**Catheter related infection – All 19 hospitals**

- **U=2.98**
- **U=2**
- **U=1.17**

**Reduction of 61%**
Compliance with the VAP prevention bundle

Tests performed with unequal sample sizes
VAP – All 19 hospitals

Tests performed with unequal sample sizes
Tests performed with unequal sample sizes
**Reduced incidence of SSI**

**Reduction of 78%**

**SSI in hip and knee replacement – All 19 hospitals**

**Percentagem de doentes com ILC Ortopedia**

Graph showing the percentage of patients with ILC Ortopedia over time, with reductions noted at specific points.

- **P=0.5%**
- **P=1%**
- **P=2.2%**

Graph details:
- **Mes/Ano** (Month/Year) axis
- **Y**-axis ranging from 0 to 6
- **X**-axis ranging from 05/15 to 08/17

The graph indicates a significant reduction in SSI incidence from 2015 to 2017, with specific P-values indicating the statistical significance of the reductions.
SSI in general surgery–compliance with the bundle

Mes/Ano

%
SSIs in general surgery - All 19 hospitals

Reduction of 65%
CAUTI prevention - compliance with insertion bundle

Tests performed with unequal sample sizes
CAUTI prevention-compliance with maintenance bundle

Tests performed with unequal sample sizes
Reduction of 35%
The QI initiative STOP HAI will systematically work to spread the knowledge regarding safety interventions that have already been tested and implemented on prototype units in each participating hospital – “scalable units” - and that have achieved both reliability in processes and change in outcomes.

Over time, the ambition of STOP HAI is that its results will influence department/hospital/health policies and other healthcare institutions throughout Portugal, who will learn from the initiative and be “contaminated” by its underlying methodology, practices and “philosophy”.


2. O grupo de trabalho referido no número anterior é coordenado pela ACSS, I.P.

3. Este Grupo de Trabalho garante, até final de junho de 2016, os mecanismos que permitam obter os dados e os indicadores, por instituição hospitalar, relativos a consumo hospitalar de antibióticos, resistência antimicrobiana (“microrganismos problema” e “microrganismos alerta”) e IACS (pneumonia associada à ventilação, infeção relacionada com cateter venoso central em medicina intensiva, infeção da corrente sanguínea, infeção urinária associada a algália e infeção neonatal).

4. Os dados e indicadores referidos no número anterior constituem o denominado “índice de qualidade PPCIRA”, o qual é composto pelas seguintes variáveis, em relação às quais se definem objetivos para o triénio 2017-2019, nomeadamente:
   a) Consumo hospitalar global de antibióticos, medido em DDD por 1.000 doentes saídos dia (objetivo: redução de 10% ao ano);
   b) Consumo hospitalar global de carbapenemes, medido em DDD por 1000 doentes saídos
   c) Taxa de Staphylococcus aureus resistente à meticilina (MRSA) no total de Staphylococcus aureus isolados em amostras invasivas (sangue e líquor) (objetivo: redução de 5% ao ano);
   d) Taxa de Klebsiella pneumoniae produtora de carbapenemase no total de Klebsiella pneumoniae isoladas em amostras invasivas (objetivo: ≤ 1%);
   e) Ausência de surto de Enterobacteriaceae produtora de carbapenemase nesse ano;
   f) Implementação de isolamento, rastreio de doentes com pelo menos um fator de risco de MRSA, conforme Norma anti-MRSA 019/2014, de 9 de dezembro de 2014, atualizada a 27 de abril de 2015, do PPCIRA/DGS;
   g) Taxa de adesão ao feixe de intervenções (bundle) de prevenção de infeção de local cirúrgico conforme Norma 020/2015, de 15 de dezembro de 2015, do PPCIRA/DGS (objetivo: n.º de cirurgias com adesão a todas as medidas do feixe/ n.º total de cirurgias > 75%);
   h) Taxa de adesão ao feixe de intervenções (bundle) de prevenção de infeção urinária associada a algália, conforme Norma 019/2015, de 15 de dezembro de 2015, do PPCIRA/DGS (objetivo: n.º de algiências com cumprimento de todas as medidas do feixe / n.º total de algiências > 75%);
   i) Taxa de adesão ao primeiro momento da higiene das mãos (objetivo: > 70%);
   j) Participação nos programas de vigilância epidemiológica de infeção relacionada com cateter, de pneumonia associada a ventilador, de infeção de local cirúrgico e de infeção nosocomial da corrente sanguínea (objetivo: cumprimento destas vigilências em pelo menos 9 dos 12 meses).

7. O “índice de qualidade PPCIRA” é integrado no processo de contratação de cuidados de saúde que se encontra implementado no Serviço Nacional de Saúde, ficando associado a partir do ano de 2017 à aplicação de incentivos no âmbito dos contratos-programa estabelecidos anualmente entre as Administrações Regionais de Saúde e as instituições hospitalares, de forma a premiar as boas práticas e a melhoria da qualidade numa área critica para a gestão hospitalar e para a segurança dos utentes.
Infection and antibiotic resistance prevention: Quality improvement cycles can help

- Constant dynamic of quality improvement, followed by quality control
- Quality improvement initiatives
- Change package (bundles and establishment of clear targets)
- Iterative, incremental PDSA cycles, using educational and behavioral interventions
- Capacity building
- Data collection system
- Dynamic and feedbacked measurement
- High impact leadership commitment
- Progressive cultural change

PPCIRA + STOP Infeção Hospitalar
It´s happening in Portugal with success